

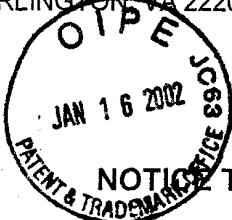


UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 1  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
Millen, White, Zelano & Branigan, P.C.  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,249	10/15/2001	Giorgio Attardo	PHARMA 123

23599  
MILLEN, WHITE, ZELANO & BRANIGAN, P.C.  
2200 CLARENDON BLVD.  
SUITE 1400  
ARLINGTON, VA 22201



CONFIRMATION NO. 9696

FORMALITIES LETTER



\*OC00000007079567\*

Date Mailed: 11/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$8290.
  - \$7254 for 403 total claims over 20.
  - \$756 for 9 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 9160.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

01/22/2002 SDOUNG 00000004 09976249

01 FC:101	740.00	DP
02 FC:102	756.00	DP
03 FC:103	486.00	DP
04 FC:105	130.00	DP

*A copy of this notice MUST be returned with the reply.*

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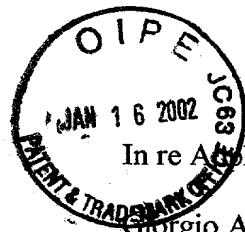
## Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 1 - ATTORNEY/APPLICANT COPY**

#3  
Dec 11/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:  
Giorgio ATTARDO

Serial No.: 09/976,249

Filed: October 15, 2001

For: DIOXOLANE ANALOGS FOR IMPROVED INTER-CELLULAR DELIVERY

**ATTENTION:**

**BOX: MISSING PARTS**

Group Art Unit

Examiner: Unassigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

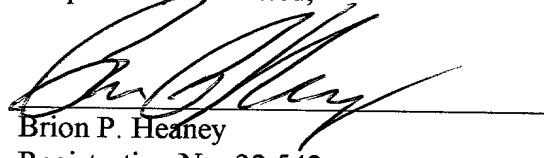
In response to the Notice to File Missing Parts dated November 16, 2001:

- Attached is a Declaration and Power of Attorney in compliance with 37 CFR § 1.53 along with the late filing surcharge of \$130.00
- Applicant(s) include the filing fee of \$740.00.
- Applicant(s) include the extra claims fee of \$ 486.00 for 27 claims in excess of 20, \$18.00 per additional claim, extra claims fee of \$756.00 for 9 independent claims in excess of 3, \$84.00 per additional claim.
- Applicant(s) include an executed assignment and Recordation Form Cover Sheet with the recordation fee of \$40.00 (Check No. 22483).
- Attached is a copy of the Notice to File Missing Parts.
- Applicant(s) request that the time for taking action in this case be extended pursuant to 37 CFR 1.136(a) for a period of one/two/three/four/five month(s) along with the fee of \$110.00/\$400.00/\$920.00/\$1440.00/\$1960.00.
- Applicant(s) are entitled to small entity status.
- Attached is a verified English translation of the language text filed on along with the fee of \$130.00.
- Applicant(s) provide the title of the invention/inventor(s) name(s) and address(es) on the attached provisional application cover sheet along with the \$50/\$25 surcharge.

- Attached is the Abstract as required.
- Attached is the Substitute Specification as required.
- Attached are the substitute drawings as required.
- Attached is an English translation of the application.

Attached is a check in the amount of \$ 2,112.00. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,



Brion P. Heaney  
Registration No. 32,542  
Attorney/Agent for Applicants

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& BRANIGAN, P.C.  
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Attorney Docket No.: PHARMA-123

Date: January 16, 2002